

"STRATEGIC TECHNOLOGICAL PROGRAM" GUIDELINES

1. ANTECEDENTS

The recent period of constriction, characteristic of the cycles the economy experiences, can in part be explained by the low growth of productivity and at the same time due to a lack of innovation. While the total factor productivity declined in the year 2013, this has shown on average, values of 1.1% and .8%, in the last two and four years; both statistics being very similar to the average of the last 21 years of .9%. This demonstrates that beyond the short-term ups and downs, the Chilean economy has been able to sustain growth of the Total Factor Productivity (TPF) at 1% per year. (Source: Evaluation of the TFP in Chile, Bulletin N°7, UAI-CORFO, 2013/ Evaluacion de la PTF en Chile, Boletin, N°7, UAI-CORFO, 2013)

Nevertheless, in general the economic sectors are less productive in all of the factors that define the TPF, which reflects that this does not increase the values that make up the Gross Domestic Product (GDP). The previously stated, added to a low level of diversification and sophistication of production, influence the income and generates a stagnant competitiveness.

The lack of diversification of Chiles economy implies risks. The values of inter-industry commerce, a highly dynamic segment of the international commerce, are very low in Chile, much more than in comparable Latin American countries. The base of Chilean exportations lacks in diversification and specializes principally in raw material, fundamentally copper. This causes the economy to be vulnerable to sudden changes in international prices of said projects and secular orders in the demand. Likewise, the limited variety of production discourages innovative activities, an additional fountain of medium and long-term potential growth (Estudios territorial OCDE, capitulo Chile, 2009)

Although the country has invested in scientific capabilities, a weak bond between university and company is found, as well as a relatively small part being oriented to the productive and technological development of the country. An example of the above is that only 5.4% of the financing of the I+D Costs that the universities carry out, come from productive companies (Encuesta I+D; MINECON, 2012). Likewise, the patent and publications reaches at a national level a .76% while in the more developed countries it exceeds 6.2% (2008).



In response to the exposed, the Agenda of Productivity, innovation and Growth, presented by President Michelle Bachelet J. in May of 2014, has as its objective set the bases for a new phase of the development of our economy. In effect, it plants an economy that not only depends on the exploitation and exportation of natural resources but one that opens the space so that sectors capable of producing new goods and services, develop industries and generate poles of innovation in base to technology, may emerge.

To reach this objective, the Agenda plants the necessity for the state to develop a systematic policy and promote in a selective form (intelligent specialization) innovation in strategic productive sectors. In this aspect, while it is true that Chile has a reasonable number of public instruments to promote the science, technology and innovation, these have been majorly focused on resolving the failures associated with the imbalances of information and the externalities that inhibit the demand for the innovation of the companies, and to a lesser degree, in the coordination or systematic failures associated with economies of scale, public goods, and club goods, thus hindering the development and growth of strategic sectors.

Based upon the reasons previously exposed, the Strategic Technological Programs have as a goal to promote an associative dynamic between universities' and technological centers, companies and the public sector, that through a portfolio of applied I+D projects and innovation, they will approach the challenge of developing technologies critical to closing the gaps, as well as border technologies in Strategic sectors or habilitating platforms determined by Corfo.

In the publication that is carried out of each call to the contest of the present program, Corfo will signalize the strategic sector(s) and/or habilitating platforms in respect to which the parties interested in presenting their proposals will be convened. Besides corresponding, the following will be signaled; the source of information in regards to identification of opportunity (ies), lifting of gaps, and/or technological road maps, of the strategic sectors or habilitating platforms that are found available, these factors should be utilized as a technical base for the formulation of the proposals.

2. OBJECTIVES

2.1. General Objective

Increase the rate of technological innovation in products and processes of the companies in strategic sectors, through the articulated execution of applied investigation and technological development project portfolios, with a long-term vision that will



allow for the closing of detected gaps, better the sector productivity and contribute to the diversification and sophistication of the production network.

2.2. Specific Objectives

- 2.2.1. Conform associative and cooperative dynamics between companies, of national preference, technological centers, universities, and other participating entities of a program, that they reach critical mass, specialization and technological depth in the approached sector.
- 2.2.2. Establish a portfolio of applied I+D projects and innovation with a long term vision in relation to a sector and/or habilitating platform, that allows for the closing of the scientific knowledge gaps detected and to confront the technological challenges of the sector, generating border innovations.
- 2.2.3. Create mechanisms for the transfer of knowledge and technologies generated, in order to allow for the adequate management of the intellectual and industrial property, and an effective implementation within the strategic sector.
- 2.2.4. Spread the results of the program and portfolio projects within the strategic sector or habilitating platform.
- 2.2.5. Develop highly complex technological program management mechanisms that incorporate and adopt the best international practices.

3. EXPECTED OUTCOMES

- **3.1.** An increase in the number of products and processes of higher added value or level of sophistication in the attended strategic sector.
- **3.2.** Development of habilitating technological platforms that increase the productive of the strategic sector as a whole.
- **3.3.** Increase in the number highly qualified human capital in the strategic sector.
- **3.4.** Networks and alliances between the companies/centers/universities related with the same industry and also with relevant international actors that increase the participation and positioning of the sector in the global markets.
- **3.5.** Management and governing models of highly complex technological programs.



4. PARTICIPANTS

The participation of the following is considered:

- **4.1. Beneficiary or "Technological Manager": must** be a legal person, public or private, for or non profit, constituted in Chile, which should prove the following characteristics:
 - 4.1.1. Posses' technological capabilities of technological management (capabilities in management and in transfer of applied I+D projects and business innovation, specifically in the prioritized sector approached in the application) and intellectual property for the correct execution and direction of the project.
 - 4.1.2. They will be a technological reference in the strategic sector, to act as a counterpart in the different studies and projects being executed within the portfolio.
 - 4.1.3. Count on experience in the management and/or execution of technologically based projects in the sector addressed by the program.
 - 4.1.4. Count on his/her team for the sufficient specialized human capital to reach the management, transfer, and diffusion of the required knowledge.
 - 4.1.5. Count on work experience in institutions like technological centers, relevant companies of the sectors and others, to grant him relevance to the address the program.

Within the application antecedents that allow for the verification of the completion of the above signaled characteristics should be present. In the case that the information does not accompany the application or the accompaniments does not allow for the verification of the completion of the above signaled characteristics, the proposal shall be declared non pertinent.

The beneficiary or "Technological Manager: is the entity responsible before Corfo for the execution of the project. In this manner, they will be an active and passive subject of all of the rights and obligations that are established in the Subsidy agreement with Corfo, including the renditions and detailed reports of the costs and developed activities by this and third parties.

The beneficiary will be able to contribute to the co-financing of the project through pecuniary contributions (cash) or valued.

Each program should consider the participation of a beneficiary; the rest of the participants must take part in some of the following categories.



4.2. Co executors

The co-executors correspond to legal persons, public or private, for or non-profit, constituted in Chile or foreign, that provides complementarity to the technological capabilities in applied I+D materials and innovation for the development of the project. Each program shall consider the participation of at least 2 (two) coexecutors.

The beneficiary and co-executors, jointly, should posses the sufficient capabilities to approach the exercise of the program activities.

The co-executors will be able to contribute to the co-finance of the project through pecuniary contributions (cash) or valued.

During the execution of the project, Corfo, upon the request of the beneficiary, will be able to approve the substitution or retirement of co-executors, cases in which we will verify that technological and technical capabilities are conserved or improved and the general objective and nature of the respective program are not altered. Likewise, Corfo, upon the request of the beneficiary, will be able to approve the incorporation of new co-executors for the execution of pre-established activities, or for the development of new activities that are found justified and allow/facilitate the achievement of the general goal of the respective project.

4.3. Associates

Corresponds to natural or legal persons, public or private, for or non-profit, constituted in Chile or foreign, whose participation gives relevance to the portfolio of projects and activities understood in the program and have interest in the use of the obtained results.

The associates must make pecuniary (cash) contributions and/or valued in each program.

The participation of one or more associates in each program can be optionally considered.

4.4. Interested

Corresponds to natural or legal persons, public or private, for or non-profit, constituted in Chile or foreign, that have interest in the results of the program, for example entities demanding of the



products and services being developed. The application should consider antecedents that justify their interests in the results of the respective program.

The proposals should consider the participation of one or more interested in each program.

The interested do not consider to the co-financing of the program.

Without prejudice to the above-mentioned categories, it is possible to contract the execution of outsourced activities (realized by third parties of the program) that will not have a contractual relationship with Corfo. The contracting of these services should be done in accordance with the procedures established in the sub numerals 3.4, letter b) and 10.5 of the general administrative bases.

5. FINANCIABLE ACTIVITIES

Those necessary and pertinent activities will be able to be financed for the fulfillment of both the general and specific program objectives, and to also reach the expected results and impacts that allow for the execution of the portfolio projects comprehended in the same.

The activities proposed for financing should be able to be classified in the following categories:

5.1. Tangible:

- 5.1.1. Equipment conducive for the realization of applied investigation, development, technology transfer, innovation and entrepreneurship, that allow for the correct execution of the program.
- 5.1.2. Adaptation or construction of infrastructure conducive of allowing for the correct execution of the program.

5.2. Intangibles:

- 5.2.1. Activities related to the management, operation, and administration of the program, that allow for its proper development. Each proposal must consider the destination of at least one professional/technic dedicated exclusively to the labors relative to the financial renditions and administrative aspects of the same.
- 5.2.2. Activities related to the execution of the projects comprehended in the portfolios, of its own investigation and applied development, such as development of prototypes, reviews of technology, diagnostics, trips, experts, market studies, business models, patent studies, among others, pertinent and necessary for the execution of the program.



- 5.2.3. Activities of surveillance and prospective technology, diffusion, transfer, search for solutions to technological challenges, network and alliances, among other similar activities.
- 5.2.4. Training activities for new and/or existing personnel in areas that are pertinent for the goals of the program, in the areas of applied investigation, development, technology transfer, innovation and entrepreneurship.
- **5.3.** Other pertinent and relevant activities considered so by Corfo for the achievement of the objectives and results of the program.

6. CONTENTS OF APPLICANT PROGRAM

Each program should be molded by a portfolio of projects to be executed by the participants in accordance to their technical capabilities and those that are outsourced, that which should identify and approach the space of economies of scale, promoting the shared use of specialized infrastructure, sharing the qualified human resources or the development of generic technological platforms of pre commercial character utilized for one industry among others.

The portfolio of projects shall correspond to initiatives that develop technologies critical to closing the technological gaps, as well as, generate competitive windows in the strategic sector of the postulate proposal.

Therefore the projects should generate scientific and technological knowledge in base to investigation and applied development, and as part of their results, the installation of habilitating conditions for the creation of business opportunities in both the medium and long run. A project of this nature is expected to at least culminate to, for example, a prototype feasible to be patented, or in packable results, that are transferable and habilitating for the development of new or significantly bettered products or service of a technology base.

These projects should produce externalities characterized by the generation of benefits for the critical masses relevant to the sector and the overcoming of failures in the networks related to the costs of transactions and shortages of links that impede the achievement of an adequate amount, and for the launch of research and competitive development in the sector in which they are inserted.

The formulation of the program should include, as a minimum and mandatory, the following information:



6.1. Justification

Identify and describe the characteristics of the program, which will allow for the partial or full covering of the gaps and failures identified in respects to a common vision of the sector or habilitating platform, in the respective diagnostics and/or Technological Roadmaps made available by Corfo. The arguments over the suitability of the proposed program should tackle its technical, financial, and management elements.

6.2. Objectives

Define the general objective by responding to the following questions: What will we do? How will it be done? Why?, also how to indicate the specific objectives.

6.3. Participant Antecedents

- **6.3.1. Beneficiary (Technological manager):** Description of management and financial capabilities in the specific materials to be executed, considering that this entity should be the one responsible for the development of the actions and coordination of the portfolio of projects of the respective program.
- **6.3.2. Co Executor:** Description of their technical capabilities and experience in the specific materials to be executed.
- **6.3.3. Associates**: Foundation of the reason for interest and pertinence of their participation in the program, besides the detail of their contribution to the development of said program.
- **6.3.4. Interested:** description of the interested in the program, presenting antecedents that justify their interest in the results of the same program.

6.4. Definition of portfolio projects

In the application, a portfolio of projects for the first (or only) phase of the program should be established and described, that which should include the objectives and results, justifying in each case the coherence with the closing of gaps previously identified. In the case that the program contemplate more than one phase, in each continuity reports that must be turned in at the end of a corresponding phase, in addition to establishing and describing the portfolio of projects for the following phase, it should also indicate the profile of tis participants, whether they be co executors, associates, or interested parties.



6.5. Time table of activities

You must specify the planning through which one aims to tackle the projects that make up the portfolios of the program, which shall at least include, the partial results expected that represent the progress in obtaining the final proposed results. In addition you must describe and definite a system of monitoring and evaluation of the impacts of the program, in order to determine the increase in the rate of innovation of the enterprises in the strategic sector of the program.

6.6. Results and Impacts

All of the expected results (partial and final) must be indicated fro each of the projects that make up the portfolio. In addition, for each result, it should be described how they contribute to the achievement of the objectives and the decreasing of the technical uncertainty of the program. The previous requires the presentation of the methodologies to be utilized for the lifting of a base line of indicators and the mediation of these to the completion of the program subsequent of the same.

Not withstanding the above, during the allocation, continuity evaluation, and the implementation of the programs, Corfo will able to introduce modifications to the programs, provided that they do not alter the general objective or its nature, in order to improve the execution of said project and facilitate the achievement of its objectives.

6.7. Budget

A budget should be elaborated in accordance with the budgetary accounts of "Human Resources", "Operational Costs", "Investment Costs", and "Administrative Costs", described in sub numeral 3.4 of the General Administrative Bases.

For each account, you must specify the activities to be executed, the co financing amount requested to Corfo, and the amount committed by the participants.

7. GOVERNING

The direction of the respective Program will once again fall into the Director of Program proposed by the Technological manager in the application, which will have to count upon technical capabilities and of management for its labor. Also, the Program will have to consider in its programming a model of governance operation with at least the following instances:



7.1. Strategic Advice

Composed by the Program director: at least 1(one) co-executor or associate representative of the program, and at least 1 (one) representative appointed by Corfo. This council must exercise tasks of strategic coordination of the program wit other programs in execution (Corfo's Strategic Programs, other technological programs, among others), as well as the permanent evaluation of the guidelines of the program, among other follow up labors. The members of this council are not eligible to receive remuneration, fees, or emoluments of any nature for this concept.

7.2. Technical Advice

Composed of at least 2 (two) sector specialists, proposed by the technology manager and approved by Corfo, to which will also be added, a representative appointed by Corfo. This council shall exercise tasks of support and technical supervision executed during the program as well as those determined by Corfo during the execution of the program. The members of this council are not eligible to receive, remunerations, fee, nor emoluments of any nature for this concept, with exception of the two sector specialists.

8. CO-FINANCING and TERMS

8.1. Corfo Subsidy

Corfo will co finance up to 80% of the total program cost, with a cap of up to 283.000 UTM (two hundred and eighty three thousand monthly tax units) without prejudice to what is established in the following sub numeral 8.2

The approved co financing will be given in Chilean pesos equivalent to the UTM of the month of September for the corresponding previous year to which the payment was made.

8.2. Modification of Subsidy Amount

For modification of the subsidy amount the following must be applied:

- a. During the execution of the program, upon the request of the beneficiary or office, Corfo will be able to modify the I subsidy amount initially approved and to go beyond the maximum cap signalized for each program, with the limitation signaled in the following letter b).
- b. The increases made to the subsidy, may not exceed 20% of the total subsidy amount initially approved for the program.
- c. If Corfo approves an increase of the subsidy amount, it should maintain the percentage of co-financing approved at the moment of



adjudication therefore the support of the participants should proportionately increase.

d. If Corfo approves a decrease of the subsidy amount, it will be possible to authorize that the percent of approved co-financing at the moment of adjudication be maintained. Therefore the support of the participants will be able to be proportionally decreased as well. The above, subject to that, despite the decrease in contributions, is based on that the originally committed program results will be properly obtained.

8.3. Participant Support

The program requires the compromise of the participating entities to be executed. For this reason, the following structure is established in regards to the support that should be realized during the execution of the programs:

Nature of Support	Percentage
Minimum support of the participating entities (including both pecuniary and non pecuniary contributions)	At least 20% of the total program cost.
Minimum pecuniary support of the participating entities	At least 10% of the total program cost.

In conformity to sub numeral 10.3 of the General Administrative Bases, the support either in cash or pecuniary, consists of the disbursement of resources caused by the implementation of the program and does not corresponds to this concept the use of facilities, infrastructure, human resources, etc. already existing in the income.

8.4. Term and Phases

The term of duration of the programs shall be of up to 10 (ten) years, which may be divided in up to 3 (three) phases.

The phases have as their object the ordering in the evaluation and monitoring of the projects that make up each one, and must be consecutive

Each stage can have a maximum duration of 4 (four) years, and altogether cannot exceed 10 (ten) years. In this way, if the program considers a



single stage for execution, this may be a maximum duration of 4 (four) years.

Independent of the duration of the program, this may be extended by 36 (thirty-six) months, upon the request of the Corfo official or by the beneficiary, Such request will have to be submitted before the expiration of the original term.

The start date of the program will correspond to that of the total processing of the resolution of Corfo that is approved by the Subsidy agreement or of the last administrative act as appropriate.

At the end of each phase, the program will be evaluated to define its continuity towards the next phase. The decision of continuity shall be adopted by the respective subcommittee.

9. INVESMENT FINANCING and PRE-EXISTING PERSONEL SUPPORT

With respect to the investment costs, which correspond to the expenses of acquisition of equipment and/or improvements of goods destined or the implementation of the program, these may not exceed 30% of the subsidy allocated by Corfo. These costs must be justified in relation to its link to each program and in the case of acquisition, only be financed proportionately in the use that is contemplated in the same ones. The table of normal life issues by the internal revenue service, will be used as a reference of the physical assets existing at the date of the respective acquisition Upon the request of the beneficiary, the tables previously mentioned will not be applied in the case of highly specialized equipment considered critical for the realization of the activities of any of the projects belonging to the program portfolio.

In consideration of the objectives of the present instrument one may allocate up to 25% of the Corfo subsidy to finance the salaries and/or payment of pre-existing personal of the beneficiaries and co-executors, provided that they are not being finances by other fountain of public financing and not having been committed as support for the execution of another project.

10.FOLLOW UP AND REPORTS

Without prejudice to the reports to be turned in during the projects implementation in accordance to the established in numeral 10.6 of the General Administrative Base, the delivery of following reports will be contemplated:



10.1. Continuity Report: in the even that the program has more than one phase, within the period of 5 (five) months before the expiration of the ending phase, with an exception of the last, a continuity report containing the obtained and not obtained results up to six months prior to the expiration of the corresponding phase and, in addition the detailed formulation for the following phase (for example if the first phase lasts 48 moths, the continuity report must be given during month 43).

Once Corfo has evaluated the continuity report, the Technological Capabilities Subcommittee of InnovaChile, will be informed of its results and will decide whether to approve or reject the continuity of the program for the next phase, being able to establish technical or financial conditions, provided that they do not alter the principal objective nor the nature of the original program.

Also, a panel of experts of an international level may be counted upon, which previously executed an analysis of the compromised results and will practice the revision of the detailed formulation of the following phase, to recommend the continuity of end of the program and must deliver a report that will serve as input for the evaluation realized by Corfo.

In the case that the program not be approved for continuation, relevant expenses incurred up to 30(thirty) calendar days after the date of the letter notifying of the decision will be recognized, to which the beneficiary must turn in a closing report including the period mentioned above.

10.2. Progress Report: The subsidy agreement alongside the beneficiary, should contemplate the delivery of progress reports. Those, which should give an account of, the progress of the activities and results obtained up to the date, which the same agreement establishes.

These reports must contain at least the following:

- 10.2.1. The state of progress of the promised results for the period of execution and their projected completion.
- 10.2.2. Justification of any deviations identified in relation to the programing of activities.
- 10.2.3. Proposal of measures and actions that will be taken to correct the deviations identified.
- 10.2.4. With the exception of the first progress report, an analysis of the impact of the measures and actions implemented from the previous report should be included.



10.3. Final Report: The subsidy agreement may contemplate the delivery of a final report, that which shall be presented to Corfo within 60 consecutive days following the expiration of the execution term or the only phase of the program. This report shall give an account of the totality of the activities performed during the execution of the same.

Without prejudice to the foregoing, and in accordance with the nature of the program, the respective subsidy agreement may contemplate the delivery of progress reports and/or additional continuity, or adjustments to the delivery thereof with respect to the proposed dates, which will be determined by Corfo taking into account the characteristics of the program, the program activities, the results and their own budget. Corfo may also realize intermediate evaluations during the execution of the program or of one phase in particular, contracting for these national and international experts.

11.INTELLECTUAL AND INDUSTRIAL PROPERTIES OF RESULTS

In the application, the beneficiary must present a detailed proposal relative to the strategies, politics, and regulation of intellectual and industrial property hat will be derived from the execution of program activities.

Corfo, in the act of adjudication, evaluation of continuity, and during the execution of the programs, will be able to introduce modifications to the proposal, a situation that should be stipulated in the respective agreements that the participants sign in regards to these matters.

The foregoing shall be determined having regard to facilitate the achievement of the programs as well as the expected results and outcomes.

Any modifications made by the participants to the proposal in regards to strategies, politics, and regulation of intellectual and industrial property, must be approved by Corfo.



12. EVALUATION CRITERIA

The projects shall be evaluated with notes from 1 to 5, the minimum being 1 and 5 the maximum. Each criterion will be analyzed in base to the information given in the application. The criteria are:

Criteria	Weight
Technological Pertinence & Additionality: The technological pertinence of the proposal for the strategic sector will be evaluated, as well as its relevance for resolving technological gaps and market opportunities. The grade of additionality will be verified as well as its consistency with the technological roadmap of its respective strategic program of intelligence specialization of Corfo.	30%
Participants: The following will be evaluated: A) Solvency, capacity of financial management, and technical quality of beneficiary considering; the professionals in charge of the program, the professionals in charge of activity development, the experience of the applicant institution, their capacity for executing strategic alliances, and their capacity for the management, transfer, and diffusion of knowledge. B) The technical capabilities of the co executors, verifying the pertinence of their participation in the program. C) The coherence between the productive sector to benefit of the proposed results and the representation of the associates included in the same. D) The relationship of the interested with the results of the program. Also, in all of the categories, the representation of the sector or platform in the proposal, will be evaluated.	20%
Coherence of the work plan, management model, and budget: The following will be evaluated: A) the coherence of the proposal with objectives, activities and expected results, as well as its relation with the necessities of the strategic sector; work plan proposed for the achievement of its goal with clear and well aligned projects; terms, results; proposal of intellectual and industrial property. B) Model of effective management, with an adequate participation of the members and feasibility of the governing model. C) It will be verified that the estimated proposal be adequate and consistent with the work plan proposed and the compromised results.	25%



Results and Impacts:	
The impacts compromised in the development of the	
program will be evaluated according to the objectives of the	
proposal. In addition, the systems of measurement and	
evaluation of these results will be evaluated, placing	
emphasis on the definition and uprising of the indicators,	
that they be simple and effective. Likewise, the quality and	
feasibility of the proposal of "monitoring system and	
evaluation of the program impacts" will be evaluated.	
· • ·	

13. INSTITUTIONAL REPOSITORY OF CORFO

For the purposes of the Institutional Repository of Corfo, or the system that substitute's or replaces it, the information that Corfo has received through the progress reports, extraordinary and final, will be made available to the public, in accordance with the stated by the beneficiary through the annex of these bases, which must be attached at the time of delivering the reports, or failing that, by declaring it directly to the Project of system management, when this function is found available. IN said annex, the beneficiary will signal the activities and the results of the project that will be published by Corfo and those that will not be.

Without prejudice to the foregoing, after 3 (three) consecutive years from the end of the respective phase, Corfo will be able to place at the publics disposition through the institutional repository of Corfo or the system that replaces or substitutes it, all of the information contained in the progress reports, extraordinary and final.

14. QUESTIONS

Corfo shall receive questions through the following email: <u>programastecnologicos@corfo.cl</u>, up to 10 (ten) days before the end of the term of close of the respective call. The Management of technological Capabilities will respond to each question, with 7 business days counted from its presentation.

In addition, Corfo will put at the disposition of the applications in the Corfo website, <u>www.corfo.cl</u> a form of frequently asked questions and answers.

25%



15. APPLICATION OF THE GENERAL ADMINISTRATIVE BASES

In regards to the administrative aspects that must be completed from the application up until the end of the subsidy agreement between Corfo and the beneficiary, the following shall be applicable that is found in Resolution (A) N°19 from the 18th of January of 2013, of the Manager of Entrepreneurship, modified by Resolution (A) N°12 of the 21st of January of 2013, of the Executive Vice President of Corfo, through which the General Administrative Bases for the programs, summons, contests and lines of financing of permanent application were approved by the committee of entrepreneurship or its subcommittees, and for the programs and/or instruments of financing created by the Manager of Technological Capabilities of Corfo, considering the following rules.

- **15.1.** The present instrument shall be placed at the disposition of the interest in the form of recurrent contest.
- **15.2.** Apply to this instrument the following budget accounts, described in the sub numeral 3.4 of the General Administrative Databases.
 - 15.2.1. "Human Resources"
 - 15.2.2. "Operational Costs"
 - 15.2.3. "Investment Costs"
 - 15.2.4. "Administrative Costs"
- **15.3. Pertinence/Relevance analysis**: Besides the elements established in the General Administrative Bases for the analysis of pertinence, the completion of the following requisites will be verified:
 - 15.3.1. That the respective program be presented in accordance to the application forms indicated in the call by Corfo, made available in the Management of Program systems and in the website, <u>www.corfo.cl</u>. The incompletion of the previously stated implies the declaration of non-pertinence of the program, in compliance to the disposed in sub numeral 4.2 of the General Administrative Bases.
 - 15.3.2. That the respective program corresponds to one or more of the strategic sectors or habilitating platforms individualized in the sign of the corresponding call. In the case that this requisite is not verified, the proposal shall be declared non-pertinent.
 - 15.3.3. That the necessary antecedents for the accreditation of the completion of the characteristics of the Beneficiaries signalized in sub numeral 3.1 of the present bases accompany the application. In the case that the information does not accompany the application, or the accompaniments to nod allow for the verification of completion of the characteristics previous signalized, the proposal shall be declared non pertinent.
 - 15.3.4. In accordance to the final paragraph of sub numeral 3.4 of the General Administrative Bases, the amount of the subsidy solicited



to Corfo for the account "administrative costs" shall not exceed 15% of the sum of the support solicited to Corfo in the reaming accounts. Likewise, the subsidy for the account "Investment Costs" shall not exceed 30% of the same total. Therefore, if the application is quoted for these percentages (calculated to two decimals) the program shall be determined non pertinent.

15.4. Analysis of legal antecedents:

The antecedents solicited in numeral 3.6 of the General Administrative Bases at the moment of application, are as follows:

PARTICIPANT	LEGAL ANTECEDENTS
Beneficiary(s)	Simple photocopy of the instrument stating the name(s) of the representative(s) and their powers to sign the respective subsidy agreement with Corfo. In the case of joint application, the mandate signalized in annex 1 of these bases shall be submitted.
Co-executor (National)	Simple photocopy of the instrument stating the name(s) of the representative(s) and their powers to sign the respective agreement of co-execution.
Co-executor (Foreign)	Affidavit, in the Spanish or English language, signed by the representative of the legal person, before a diplomatic agent or Chilean consular accredited in the country of origin, or before a minister of the faith or its registered officer, that in conformity with the laws and practices of each country, vouch that the entity was established pursuant to applicable legislation and is currently in force, also individualizing the document which emanates the faculty of the declarant meant to represent it.

(*) In respect to the national legal persons (constituted in Chile) that are found taken into the system established by law N°20.659, that simplifies the regimen of constitution, modification, and dissolution of the commercial societies, and their regulation, it will not be necessary to present the above legal antecedent, if this is found and or can be obtained from the "Record of Companies and Societies" to which Title IV of said law refers.

Without prejudice to the foregoing, in the case of the approval of the program, at the time of the subsidy agreements formalization, additional antecedentes will be requested, in accordance to the established in paragraph 7 of the General Administrative Bases.



- **15.5.** The subcommittee that will know and resolve, at the proposal of the Management of Technological Capabilities, in regards to the approval or denial of the presented projects, will be the Subcommittee of Technological Capabilities of InnovaChile.
- **15.6.** In relation to the warranty of faithful compliance of the subsidy, to be delivered in accordance with the established in numeral 8.3 of the General Administrative Bases, shall have a validity of at least, 3 months over the date of completion of the respective phase, and/or the date of delivery of the final report.

ANNEX. ACTIVITIES AND RESULTS OF THE PROJECT

I. EXECUTIVE SUMMARY PROJECT ANTECEDENTS

Code Name Beneficiary

PROJECT SUMMARY (Public)

II. PUBLIC ACTIVITES OF THE PROJECT

ACTIVITY	DESCRIPTION

III. NON PUBLIC ACTIVITES OF THE PROJECT

ACTIVITY	DESCRIPTION

IV. PUBLIC RESULTS OF THE PROJECT

ACTIVITY	DESCRIPTION



V. NON PUBLIC RESULTS OF THE PROJECT

ACTIVITY	DESCRIPTION

The representatives who have supplied the dates declare that they are truthful and in relationship to the information identified as public:

- Its publicity, communication, and knowledge does not affect your right as a persona, particularly in regards to your security, health, the sphere of your private life, or your rights of economic and commercial character, in accordance with law N°20.285 about access to public information.
- Its publicity, communication, or knowledge does not effect the existence, reach nor exercise of your actual or future rights, over industrial property, in accordance to DFL N°3 from the 9th of March of 2006, that secures the consolidated text, coordinated and systemized from the law N°19.039 about industrial property.
- 3. Its publicity, communication, or knowledge does not affect the existence, reach nor exercise of your rights, actual or future, in regards to intellectual property in accordance with law N°17.336 about intellectual property.
- 4. Expressly consents in its treatment in accordance with law N°19.628 about the private lives of the people.

NAME & SIGNATURE REPREANTIVE (S) BENEFICIARY